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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

1/3/2005

Date

TPE		Application Number	r	09/682,071
TRANSMITTAL		Filing Date		7/17/2001
JAN 9 6 2013 E FORM	1	First Named Invent	tor	Shantanu V. Kaushikkar et al.
	,	Art Unit		2621
(to be used for all correspondence after in	initial filina)	Examiner Name		Jose L. Couso
Total Number of Pages in This Submissi		Attorney Docket Nu	umber	3351.1
	ENCLC	OSURES (check all tha	at apply)	
Fee Transmittal Form	Drawing(s			After Allowance Communication to TC
Fee Attached	Licensing	g-related Papers	J	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
After Final	_	o Convert to a nal Application		Proprietary Information
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		ress	Status Letter
Extension of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):
Express Abandonment Request	Request for Refund CD, Number of CD(s)			- Form 1449 (1 Page) - PCT Invitation to Pay Additional Fees (5 Pages)
☐ Information Disclosure Statement		ndscape Table on CD	1	- References (117 Pages) - Return Postcard
Certified Copy of Priority Document(s)	Remarks	Information Disc	losure St	tatement (2 Pages)
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR1.52 or 1.53				
SIGI	NATURE OF	APPLICANT, ATTOR	RNEY, O	R AGENT
Firm	Affymetrix, Inc.			
Signature	William M. That I			
Printed Name	William R. McC	William R. McCarthy III		
Date	1/3/2005		Reg. No.	55,788
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Typed or printed name

William R. McCarthy III





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shantanu V. Kaushikkar et al.

Serial No: 09/682,071

Filing Date: 07/17/2001

Title: System, Method, and Computer Software Product for Gain Adjustment in a Biological Microarray Scanner

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Jose L. Couso

Group Art Unit: 2621

1<sup>st</sup> SUPPLEMENTAL

INFORMATION DISCLOSURE

**STATEMENT** 

## Dear Examiner Couso:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application. Copies of the references are provided herewith.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

Applicants hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from the International Preliminary Examining Authority in a counterpart PCT application not more than 3 months prior to the filing of this statement.

Applicants believe that <u>no fee is required</u> for submission of this statement. However, if necessary, the Commissioner is hereby authorized to charge the required fees to Account No. 01-0431 in order to have this Information Disclosure Statement considered.

Dated: 1/3/2005

Respectfully submitted,

Customer No. 22886
Legal Department
Affymetrix, Inc.

3380 Central Expressway Santa Clara, CA 95051

Tel: (408) 731-5000 Fax: (408) 731-5392 William R. McCarthy III Reg. No. 55,788

Willian of Malleton,

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1 of

Sheet

Complete if Known				
Application Number	09/682,071			
Filing Date	7/17/2001			
First Named Inventor	Shantanu V. Kaushikkar et al.			
Art Unit	2621			
Examiner Name	Jose L. Couso			
Attorney Docket Number	3351.1			

	U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	A1	US-6,072,624	06/06/2000	Dixon et al.	Figures Appear	
	A2	US- 6,078,390	06/20/2000	Bengtsson		
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FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	Cito	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	_
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>	
	B1	DE 39 15 692 A	11/22/199 0	Strahlen Umweltforsch GMBH		
	B2	WO 99/47964	09/23/199 9	Genetic Microsystems, Inc.		

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. Translation is attached.

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